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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/748,698	12/29/2003	Jyrki Mikkola	01329/0200613-US0	2127
7278	7590	12/12/2006	EXAMINER	
DARBY & DARBY P.C. P. O. BOX 5257 NEW YORK, NY 10150-5257			HOLLIDAY, JAIME MICHELE	
			ART UNIT	PAPER NUMBER
			2617	

DATE MAILED: 12/12/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

10/748,698

Applicant(s)

MIKKOLA, JYRKI

Examiner

Jaime M. Holliday

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 05 September 2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-12 is/are pending in the application.
- 4a) Of the above claim(s) 8 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-7 and 9-12 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)          | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

## **DETAILED ACTION**

### ***Continued Examination Under 37 CFR 1.114***

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on September 5, 2006 has been entered.

### ***Response to Amendment***

### ***Response to Arguments***

2. Applicant's arguments with respect to **claims 1-7 and 9-12** have been considered but are moot in view of the new ground(s) of rejection.

### ***Claim Rejections - 35 USC § 103***

3. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

4. **Claims 1-3, 5, 10 and 11** are rejected under 35 U.S.C. 103(a) as being unpatentable over **Pankinaho (U.S. Patent # 6,140,966)** in view of **Mähringer (U.S. Patent # 6,927,732 B2)**.

Consider **claim 1**, Pankinaho clearly shows and discloses a planar antenna, the radiating antenna element of which includes at least two lips, thus providing the antenna structure with two separate resonance frequencies. The antenna system is adapted for carrying out internal multifrequency antenna systems for small mobile stations. The antenna may be attached to the back part of a two piece case of a mobile station (it is inherent that this mobile station includes the basic interior circuitry known in the art, reading on the claimed "audio amplifier"), reading on the claimed "integrated radio telephone structure, which radio telephone comprises an audio amplifier; and at least one planar element for both a first and a second function, said planar element belonging to an antenna in the radio telephone and the second function being periodic movement of said planar element, and a radiating plane of said antenna comprising a first branch and a second branch to produce two different frequency bands, wherein the periodic movement occurs in a substantial portion of the planar element," (fig. 6, col. 2 lines 12-15, col. 6 lines 32-35, col. 7 lines 26-29).

However, Pankinaho fails to specifically disclose that there is a piezoelectric element attached to the planar antenna.

In the same field of endeavor, Mähringer clearly shows and discloses a communication terminal provided with an electromagnetic transmission or receiving antenna, an acoustic converter, preferably housed in a mobile telephone, reading on the claimed "integrated radio telephone." A shaped membrane is incorporated in the surface of a planar antenna to generate sound.

The membrane could be configured as a thinner section of material in the antenna surface, connected continuously or only partially to the antenna surface. The membrane contains a piezo-ceramic layer. Piezo-electrical materials are characterized by a significant interaction between their electrical and mechanical characteristics, and by applying an electrical field mechanical deformations are produced. Mechanical pressure on these materials, however, generates electrical charges. This structure therefore allows sound signals to be picked up, reading on the claimed "at least one planar element for which the structure comprises a piezoelectric element attached to said planar element, wherein periodic movement occurs in a substantial portion of the planar element beyond the location of the piezoelectric element" (abstract, column 2 lines 53-60 and column 3 lines 4-10).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to allow the piezoelectric element to generate electrical charge on a planar antenna as taught by Mähringer, in the antenna system of Pankinaho, in order to have a small-sized antenna operate on several frequency bands (Pankinaho; col. 1 lines 5-7).

Consider **claim 2**, Pankinaho, as modified by Mähringer, clearly shows and discloses the claimed invention **as applied to claim 1 above**, and in addition, Mähringer further discloses if an electrical voltage is applied to this electric connection on the piezo-ceramic layer, the piezo-ceramic layer deforms and the membrane is tensioned downwards mechanically. Acoustic sound is

generated by the transitioned from the rest position of the tensioned position, reading on the claimed "piezoelectric element is coupled to an audio amplifier output, whereby said periodic movement of the planar element causes generation of sound," (col. 4 lines 7-11).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to use a piezo-ceramic layer to create acoustic sound as taught by Mähringer, in the antenna system of Pankinaho, in order to have a small-sized antenna operate on several frequency bands (Pankinaho; col. 1 lines 5-7), applicable in mobile stations.

Consider **claim 3**, Pankinaho, as modified by Mähringer, clearly shows and discloses the claimed invention **as applied to claim 2 above**, and in addition, Pankinaho further discloses a radiating element **100** of the antenna, reading on the claimed "said planar element is the first branch of the radiating plane," (fig. 1, col. 3 line 24-25).

Consider **claim 5**, Pankinaho, as modified by Mähringer, clearly shows and discloses the claimed invention **as applied to claim 1 above**, and in addition, Pankinaho further discloses that the radiating antenna element is connected to the ground plane **140** at least at one point, reading on the claimed "antenna comprises a separate ground plane, said planar element being the ground plane," (fig. 2, col. 2 lines 40-41).

Consider **claim 10**, Pankinaho, as modified by Mähringer, clearly shows and discloses the claimed invention **as applied to claim 1 above**, and in

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addition, Mähringer further discloses the membrane contains a piezo-ceramic layer, reading on the claimed "piezoelectric element is made of a ceramic material," (col. 2 lines 59-60).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to use a piezo-ceramic layer to create acoustic sound as taught by Mähringer, in the antenna system of Pankinaho, in order to have a small-sized antenna operate on several frequency bands (Pankinaho; col. 1 lines 5-7), applicable in mobile stations.

Consider **claim 11**, Pankinaho clearly shows and discloses a planar antenna, the radiating antenna element of which includes at least two lips, thus providing the antenna structure with two separate resonance frequencies. The antenna system is adapted for carrying out internal multifrequency antenna systems for small mobile stations. The antenna may be attached to the back part of a two piece case of a mobile station (it is inherent that this mobile station includes the basic interior circuitry known in the art, reading on the claimed "audio amplifier"), reading on the claimed "integrated radio telephone structure comprising at least one planar antenna, having a planar element, configured to perform radio-frequency and audio-frequency operations; a radiating plane of said antenna comprising a first branch and a second branch to produce two different frequency bands," (fig. 6, col. 2 lines 12-15, col. 6 lines 32-35, col. 7 lines 26-29).

However, Pankinaho fails to specifically disclose that there is a piezoelectric element attached to the planar antenna.

In the same field of endeavor, Mähringer clearly shows and discloses a communication terminal provided with an electromagnetic transmission or receiving antenna, an acoustic converter, preferably housed in a mobile telephone, reading on the claimed "integrated radio telephone." A shaped membrane is incorporated in the surface of a planar antenna to generate sound. The membrane could be configured as a thinner section of material in the antenna surface, connected continuously or only partially to the antenna surface. The membrane contains a piezo-ceramic layer. Piezo-electrical materials are characterized by a significant interaction between their electrical and mechanical characteristics, and by applying an electrical field mechanical deformations are produced. Mechanical pressure on these materials, however, generates electrical charges. This structure therefore allows sound signals to be picked up, reading on the claimed "at least one piezoelectric element attached to the planar element, wherein the piezoelectric element induces a periodic movement of a substantial portion of the planar element" (abstract, column 2 lines 53-60 and column 3 lines 4-10).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to allow the piezoelectric element to generate electrical charge on a planar antenna as taught by Mähringer, in the



antenna system of Pankinaho, in order to have a small-sized antenna operate on several frequency bands (Pankinaho; col. 1 lines 5-7).

5. **Claim 4** is rejected under 35 U.S.C. 103(a) as being unpatentable over **Pankinaho (U.S. Patent # 6,140,966)** in view of **Mähringer (U.S. Patent # 6,927,732 B2)**, and in further view of **Weber (U.S. Patent # 5,361,077)**.

Consider **claim 4**, and as applied to **claim 3 above**, Pankinaho, as modified by Mähringer, clearly shows and discloses the claimed invention except that there is a second piezoelectric or piezoceramic element on the antenna.

In the same field of endeavor, Weber clearly shows and discloses an overmoded acoustically coupled antenna, wherein it is desirable to provide an acoustically coupled antenna having a substantially planar structure. The antenna includes a first thin film resonator having a first pair of electrodes and a first thin film piezoelectric element, and a second thin film resonator includes a second pair of electrodes and a second thin film piezoelectric element. The two thin film piezoelectric resonators are electrically isolated but acoustically coupled so that the energy, which is passed between the electrical elements, coupled to one resonator and the electromagnetic radiating elements coupled to the other resonator are interfaced only by way of the acoustical coupling. Acoustical coupling is accomplished by imposing an intervening substrate layer, reading on the claimed "a second piezoelectric element which is attached to the second

branch of the radiating plane," (fig. 1, col. 2 lines 16-19, 30-45, col. 6 lines 60-67).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to have two piezoelectric elements on an antenna as taught by Weber, in the antenna system of Pankinaho, as modified by Mähringer, in order to have a small-sized antenna operate on several frequency bands (Pankinaho; col. 1 lines 5-7), applicable in mobile stations.

6. **Claims 6 and 7** are rejected under 35 U.S.C. 103(a) as being unpatentable over **Pankinaho (U.S. Patent # 6,140,966)** in view of **Mähringer (U.S. Patent # 6,927,732 B2)**, and in further view of **Siwiak et al. (U.S. Patent # 5,410,749)**.

Consider **claim 6**, and as applied to **claim 5 above**, Pankinaho, as modified by Mähringer, clearly shows and discloses the claimed invention except that piezoelectric material is on the ground plane.

In the same field of endeavor, Siwiak et al. clearly show and disclose a radio communication device having a microstrip antenna comprising a planar antenna element having first and second major surfaces, a ground plane coupled to the planar antenna element, (figure 2 and column 1 lines 55-59), and first and second feeders, which may be conductive materials, that extend from the second surface of the planar antenna element and in the ground plane, reading on the claimed "piezoelectric element is attached to the ground plane at a first fixedly-supported end thereof, and the structure further comprises a second

piezoelectric element which is attached to the ground plane at a second fixedly-supported end thereof" (figure 2, col. 1 lines 55-59, col. 3 lines 55-58).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to attach feeders, made of conductive materials, reading on the claimed "piezoelectric material," to a ground plane as taught by Siwiak et al. in the antenna system of Pankinaho, as modified by Mähringer, in order to have a small-sized antenna operate on several frequency bands (Pankinaho; col. 1 lines 5-7), applicable in mobile stations.

Consider **claim 7**, and **as applied to claim 1 above**, Pankinaho, as modified by Mähringer, clearly shows and discloses the claimed invention except that the mobile station comprises a vibration oscillator and that a piezoelectric element is coupled to the oscillator and generates alarm vibration.

In the same field of endeavor, Siwiak et al. clearly show and disclose a radio communication device having a microstrip antenna comprising a planar antenna element having first and second major surfaces, and a ground plane coupled to the planar antenna element. Siwiak et al. further disclose first and second feeders, which may be conductive materials, that extend from the second surface of the planar antenna element and in the ground plane. The first and second feeders are present to electrically couple signals intercepted by the planar antenna element with primary receiver element circuits which comprise a conventional RF amplifier, a local oscillator, a mixer, and associated filters, reading on the claimed "radio telephone comprises a vibration oscillator, a

piezoelectric element being coupled to the vibration oscillator, whereby said periodic moving of the planar element is generation of alarm vibration" (figure 2, figure 5, column 1 lines 55-59, column 3 lines 55-58 and column 3 lines 60-65).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to attach an oscillator as taught by Siwiak et al. in the antenna system of Pankinaho, as modified by Mähringer, in order to have a small-sized antenna operate on several frequency bands (Pankinaho; col. 1 lines 5-7), applicable in mobile stations.

7. **Claim 9** is rejected under 35 U.S.C. 103(a) as being unpatentable over **Pankinaho (U.S. Patent # 6,140,966)** in view of **Mähringer (U.S. Patent # 6,927,732 B2)**, and in further view of **Suzuki (JP 06224824 A)**.

Consider **claim 9**, Pankinaho, as modified by Mähringer, clearly show and disclose the claimed invention **as applied to claim 1 above**, and in addition, Mähringer further disclose piezo-electrical materials are characterized by a significant interaction between their electrical and mechanical characteristics, and by applying an electrical field mechanical deformations are produced. Mechanical pressure on these materials, however, generates electrical charges. This structure therefore allows sound signals to be picked up, reading on the claimed "periodic movement of the planar element is caused by sound waves, and said piezoelectric element generates an electric signal corresponding to the sound waves" (abstract, column 2 lines 53-54, 59-60 and column 3 lines 4-10).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to allow the piezoelectric element to generate electrical charge as taught by Mähringer, in the antenna system of Pankinaho, in order to have a small-sized antenna operate on several frequency bands (Pankinaho; col. 1 lines 5-7), applicable in mobile stations.

However, Pankinaho, as modified by Mähringer, fails to specifically disclose that sound waves cause the periodic movement.

In the same field of endeavor, Suzuki clearly shows and discloses a wireless call deliver volume receiver which improves the structure of the sounding body, reading on the claimed "integrated radio telephone structure," (paragraph 1). In the card type wireless call delivery volume receiver that has the cross-section horseshoe-shaped receiving antenna, which made the receiving machine box object serve a double purpose, one side of the receiving antenna of the wireless call delivery volume receiver is monotonous with a plate like piezoelectric transducer thin to the inside of a conductor, (paragraph 5). The receiver circuit emits an acoustic wave, reading on the claimed "sound waves coming from outside the planar element," when the receiving antenna receives a wireless call signal (paragraph 8).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to have the receiver circuit emit waves in response to an outside signal as taught by Suzuki in the antenna system of Pankinaho, as modified by Mähringer, in order to have a small-sized antenna

operate on several frequency bands (Pankinaho; col. 1 lines 5-7), applicable in mobile stations.

8. **Claim 12** is rejected under 35 U.S.C. 103(a) as being unpatentable over **Pankinaho (U.S. Patent # 6,140,966)** in view of **Weber (U.S. Patent # 5,361,077)**, and in further view of **Siwiak et al. (U.S. Patent # 5,410,749)**.

Consider **claim 12**, Pankinaho clearly shows and discloses a planar antenna, the radiating antenna element of which includes at least two lips, thus providing the antenna structure with two separate resonance frequencies. The antenna system is adapted for carrying out internal multifrequency antenna systems for small mobile stations. The antenna may be attached to the back part of a two piece case of a mobile station (it is inherent that this mobile station includes the basic interior circuitry known in the art, reading on the claimed "audio amplifier"), reading on the claimed "integrated radio telephone structure, which radio telephone comprises an audio amplifier; and at least one planar element for both a first and a second function, said planar element belonging to an antenna in the radio telephone and the second function being periodic movement of said planar element, and a radiating plane of said antenna comprising a first branch and a second branch to produce two different frequency bands," (fig. 6, col. 2 lines 12-15, col. 6 lines 32-35, col. 7 lines 26-29).

However, Pankinaho fails to specifically disclose that there is a piezoelectric element attached to the planar antenna.

In the same field of endeavor, Weber clearly shows and discloses an overmoded acoustically coupled antenna, wherein it is desirable to provide an acoustically coupled antenna having a substantially planar structure. The antenna includes a first thin film resonator having a first pair of electrodes and a first thin film piezoelectric element, and a second thin film resonator includes a second pair of electrodes and a second thin film piezoelectric element. The two thin film piezoelectric resonators are electrically isolated but acoustically coupled so that the energy, which is passed between the electrical elements, coupled to one resonator and the electromagnetic radiating elements coupled to the other resonator are interfaced only by way of the acoustical coupling. Acoustical coupling is accomplished by imposing an intervening substrate layer, reading on the claimed "a first and second piezoelectric element, first piezoelectric element being attached to the first branch of the radiating plane and the second piezoelectric element being coupled to the second branch of the radiating plane," (fig. 1, col. 2 lines 16-19, 30-45, col. 6 lines 60-67).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to have two piezoelectric elements on an antenna as taught by Weber, in the antenna system of Pankinaho, in order to have a small-sized antenna operate on several frequency bands (Pankinaho; col. 1 lines 5-7), applicable in mobile stations.

However, Pankinaho, as modified by Weber, fails to specifically disclose that there is a piezoelectric element attached to an oscillator.

In the same field of endeavor, Siwiak et al. clearly show and disclose a radio communication device having a microstrip antenna comprising a planar antenna element having first and second major surfaces, and a ground plane coupled to the planar antenna element. Siwiak et al. further disclose first and second feeders, which may be conductive materials, that extend from the second surface of the planar antenna element and in the ground plane. The first and second feeders are present to electrically couple signals intercepted by the planar antenna element with primary receiver element circuits which comprise a conventional RF amplifier, a local oscillator, a mixer, and associated filters, reading on the claimed "second piezoelectric element being coupled to the vibration oscillator" (figure 2, figure 5, column 1 lines 55-59, column 3 lines 55-58 and column 3 lines 60-65).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to attach an oscillator as taught by Siwiak et al. to the antenna of Pankinaho, as modified by Weber, in order to have a small-sized antenna operate on several frequency bands (Pankinaho; col. 1 lines 5-7), applicable in mobile stations.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jaime M. Holliday whose telephone number is (571)



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272-8618. The examiner can normally be reached on Monday through Friday 7:30am to 4:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Joseph Feild can be reached on (571) 272-4090. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Jaime Holliday

Patent Examiner

  
JOSEPH FEILD  
SUPERVISORY PATENT EXAMINER